



Change Log Form

GENERAL INFORMATION				
Course Developer Manager**		[Donika Muçolli]		
Department*		[Training Development Department]		
Date*		[2016-10-18]		
Course details*	Course name:	Language	Current Version	Subsequent Version
	[ISO 37001 Lead Implementer]	[English]	[1.1]	[1.0]
Day 1:				
Slide Number	Slide Description:	Modifications:	Comments	
No.33	Scope of ISO 37001	"This document is only applicable..." Notes: "This document does not..." "The requirements of this document..."	Standard Update	
No.54	Definition of ABMS	"in accordance with this document" "to prevent, detect and respond, bribery" Notes: ISO 37001 1 Scope	Standard Update	

No.55	Slide notes extension	Management System: set of interrelated or interacting elements of an organization (3.2) to establish policies (3.10) and objectives (3.11) and processes (3.15) to achieve those objectives (ISO 37001).	Standard Update
No.59	Notes section	“d) the organization’s business model” – added	Standard Update
No.60	Notes section	4.4 “in accordance with the requirements of this document” “to prevent, detect and respond to, bribery.” 4.5.1 “ b) analyze, assess and prioritize..”	Standard Update
No. 61	Notes section	5.1.1 “b) ensuring that the organization’s strategy an anti-bribery policy are aligned” “d) requiring that..”	Standard Update
No.65	Notes section	5.3.2 “ c)..conforms to the requirements of this document” “Top management can assign...” NOTE 1- deleted	Standard Update
No. 67	Notes section	“7.3 Awareness and training “ “d) how to recognize...”-added	Standard Update
No.69	Notes section	“a) required by this document” 7.5.3 “by this document..”	



No. 70	Notes section	8.4 "The organization shall implement non-financial controls that manage bribery risk with respect to such areas as procurement, operational, sales, commercial, human resources, legal and regulatory activities."	Standard Update
No.71	Notes section	8.5.2 "b) 2) where it is not practicable to require the business associate to implement anti-bribery controls, this shall be a factor taken into account in evaluating the bribery risk of the relationship with this business associate (see 4.5 and 8.2) and the way in which the organization manages such risks (see 8.3, 8.4 and 8.5)."	Standard Update
No.72	Notes section	8.7 "...the offering... where the offering..." "d) .. after they have in good faith...." f) encourage the use by personnel or reporting procedure"- is deleted	Standard Update`
No.75		9.2 Internal Audit	Standard Update
No.77		9.3 Management review	Standard Update
No.79		9.4 Review by Anti-bribery Compliance function	Standard Update
Day 2:			
Slide Number	Slide Description:	Modifications:	Comments
No.19	ISO 37001 requirements	"...the requirements of this document..."	Standard Update

No.32	Notes section	5.1.1 “b) ensuring that the organization’s strategy an anti-bribery policy are aligned” “d) requiring that...”	Standard Update
No.122	Notes section	NOTE Delegation of decision-making does not exempt top management or the governing body (if any) of their duties and responsibilities as described in 5.1.	Standard Update
No.125	Notes section	Modified: Competence Status Authority Independence	Standard Update

Day 3:			
Slide Number	Slide Description:	Modifications:	Comments
No.17	ISO 37001 Requirements	7.5.1- “a) documented information required by this document”	Standard Update
No.19.	ISO 37001 Requirements	7.5.3 “..and by this document...”	Standard Update
No.42	Notes section	7.2.2.1 “d) Personnel will not suffer retaliation....” 2) concerns raised or reports....or violation...	Standard Update



No.52	ISO 37001 requirements	Applicable actions can include, for example, the provision of training to, the coaching of, or the re-assignment of personnel or business associates; or the hiring or contracting of the same.	Standard Update
No.53	ISO 37001 Requirements	7.3 d) how to recognize and respond to solicitations or offers of bribes; - added	Standard Update
No.85	Notes section	"The organization can..."	Standard Update
No.91	Notes section	"and the way in which the organization manages risks (see 8.3.8.4 and 8.5)- added	Standard Update
No.93	Notes section	A.15.3	Standard Update
No.94	Notes section	A.15.4 and A.15.5	Standard Update
No.96	Notes section	8.9- "f) encourage the use by personnel of the reporting procedures."- deleted	Standard Update



No.97	Notes section	<p>8.10- The organization shall implement procedures that:</p> <ul style="list-style-type: none">a) require assessment and, where appropriate, investigation of any bribery, or violation of the anti-bribery policy or the anti-bribery management system, which is reported, detected or reasonably suspected;b) require appropriate action in the event that the investigation reveals any bribery, or violation of the anti-bribery policy or the anti-bribery management system;c) empower and enable investigators;d) require co-operation in the investigation by relevant personnel;e) require that the status and results of the investigation are reported to the anti-bribery compliance function and other compliance functions, as appropriate;f) require that the investigation is carried out confidentially and that the outputs of the investigation are confidential.	Standard Update
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No.98	Notes section	A.18.2- "...violation" A.18.4 Upon identification of any issue, top management or the compliance function (as appropriate) should then assess the known facts and potential severity of the issue. If they do not already have sufficient facts on which to make a decision, they should commence an investigation.	Standard Update
No.101	ISO 37001 Requirements	b) who is responsible for monitoring-added	Standard Update
No.114	Notes section	Exercise 11: Example: Trop Management review (9.3.1) Employment process	Standard update

Day 4:

Slide Number	Slide Description:	Modifications:	Comments
No.4	Review by anti-bribery compliance function	Clause 9.4	Standard Update
No.5	Internal Audit	Clause 9.2	Standard Update
No.32	Top Management review	Clause 9.3	Standard Update
No.33	Governing body review	Clause 9.3.2	Standard Update
No.34	Notes section	Clause 9.3.1 Top management review	Standard Update
No.68	Scope of ISO 37001	"This document..."	Standard Update



Comments:

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